

Indiana Utility Regulatory Commission



Sources of Information and Commission Funding

Jerry Webb

Director of Gas, Water and Sewer
Division

June 3, 2003

Sources of Information



- Formally Docketed Cases
 - Annual Reports of Utilities
 - Fee Billings
 - Informal Meetings with Utilities
 - Rules
 - Media
 - Consumer Affairs
 - 30 Day Filings
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Sources Continued ...

- Legislators
 - Trade Associations
 - NARUC
 - Other State Commissions
 - Other State Agencies
 - Federal Agencies
 - State Utility Forecasting Group
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Budgeting



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IURC Funding Process



- Public utilities that operate in Indiana
- Fees are imposed based on gross revenues generated in Indiana
- Required by law under Ind. Code 8-1-6

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| INDIANA UTILITY REGULATORY COMMISSION | | | |
|---------------------------------------------|------------------------------------|-------------------------|---------------------------|
| PUBLIC UTILITY FEE REPORT | | | |
| ELECTRIC UTILITIES FILING CLASS A-B REPORTS | | | |
| For The Calendar Year 2002 | | | |
| | Utility Name | | |
| | Address | | |
| | Telephone | | |
| ACCOUNT NO. | ACCOUNT TITLE | TOTAL OPERATING REVENUE | TOTAL INTRA-STATE REVENUE |
| 440 | Residential Sales | | |
| 442 | Commercial And Industrial Sales | | |
| 444 | Public Street And Highway Lighting | | |
| 445 | Other Sales To Public Authorities | | |
| 446 | Sales To Railroads And Railways | | |
| 447 | Sales For Resale | | |
| 448 | Interdepartmental Sales | | |
| 450 | Forfeited Discounts | | |
| 451 | Miscellaneous Service Revenues | | |
| 453 | Sales Of Water And Water Power | | |
| 454 | Rent From Electric Property | | |
| 455 | Interdepartmental Rents | | |
| 456 | Other Electric Revenues | | |
| 904 | Uncollectible Accounts- Debit | | |
| | TOTAL | | |

I certify that the foregoing information is accurate and is in agreement with the books and records of the utility for the year ended December 31, 2002.

| | |
|---------------|--|
| Signature | |
| Printed Name | |
| Title | |
| Telephone No. | |



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IURC Funding Process



- Completed forms are processed
 - Information entered into IURC database
 - Verify contact information with information already on file
- Agency Budget Finalized
- Net Rate Calculated
 - This is rate that fees are based

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| 2002 - 2003 PUBLIC UTILITY FEE CALCULATION | | | |
|----------------------------------------------------|-------------------------------------------------------|----------------------|-------------------------|
| BILLABLE PORTION OF THE BUDGET | | | |
| 2002 - 2003 Budget | | | |
| | Utility Regulatory Commission | \$ 6,716,604 | |
| | Utility Consumer Counselor | 3,999,342 | |
| | Expert Witness Fund | 775,000 | |
| | Nominating Fund | 5,000 | |
| | Total 2002 - 2003 Budget | | \$ 11,495,946 |
| 2000 - 2001 Reversions | | | |
| | Utility Regulatory Commission | \$ 137,419 | |
| | Utility Consumer Counselor | 260,231 | |
| | Expert Witness Fund | 363,616 | |
| | Nominating Fund | 5,000 | |
| | Total 2000 - 2001 Reversions | | \$ 766,266 |
| | Billable Portion of the 2002 -2003 Budget | | \$ 10,729,680 |
| 2001 UTILITY INTRA-STATE REVENUES | | | |
| # of Companies | | | |
| 46 | Electric Utilities | \$ 4,725,795,412 | |
| 20 | Gas Utilities | 1,753,140,919 | |
| 37 | Sewer Utilities | 19,556,165 | |
| 237 | Telecommunication Utilities | 2,980,648,482 | |
| 63 | Water Utilities | 151,450,653 | |
| | Total Utility Intra-State Revenues | | \$ 9,630,591,630 |
| 2002 - 2003 PUBLIC UTILITY FEE BILLING RATE | | | |
| | Billable Portion of the 2002 - 2003 Budget | \$ 10,729,680 | |
| | Divided by: Total Utility Intra-State Revenues | 9,630,591,630 | |
| | 2002 - 2003 Public Utility Fee Billing Rate | | 0.001114125 |



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IURC Annual Reports



- All regulated utilities are required to file annual reports
 - Required by law under Ind. Code 8-1-2-16
 - Forms are industry specific using the NARUC Uniform System of Accounts
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IURC Annual Reports



•Example of NARUC Uniform System of Accounts for Electric

- Class A – Operating Revenues of more than \$2,500,000
 - Class B – Operating Revenues between \$1,000,000 and \$2,500,000
 - Class C – Operating Revenues between \$150,000 and \$1,000,000
 - Class D – Operating Revenue of less than \$150,000
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IURC Annual Reports



- Reports must be filed by April 30th
 - IURC Staff reviews the filed report
 - If Staff finds any questionable information, the utility is contacted
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Utility Information



- The information obtained from the Fee Reports and the Annual Reports provides a financial overview of the utility.
 - Mailings depend on the accuracy of contact and utility information
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