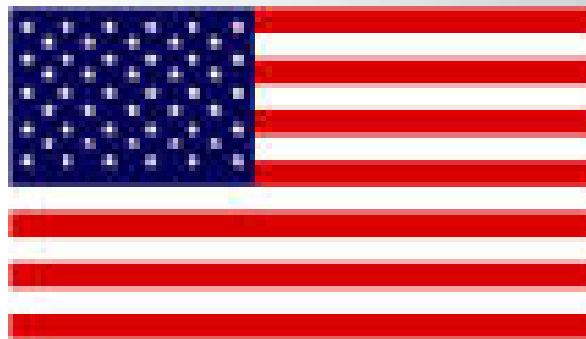
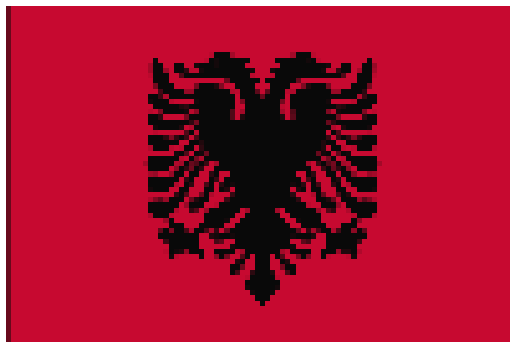


Utility Reporting Requirements

Presented by Judith G. Ripley, IURC Commissioner

NARUC Energy Regulatory Partnership Program
Electricity Regulatory Authority of Albania
and
The Indiana Utility Regulatory Commission
November 22-26, 2004
Tirana, Albania



Mirëdita! (Good afternoon!)



Three Main Types of Utility Reporting:

- Required by law
- Required by Commission rule
- Required by Commission order

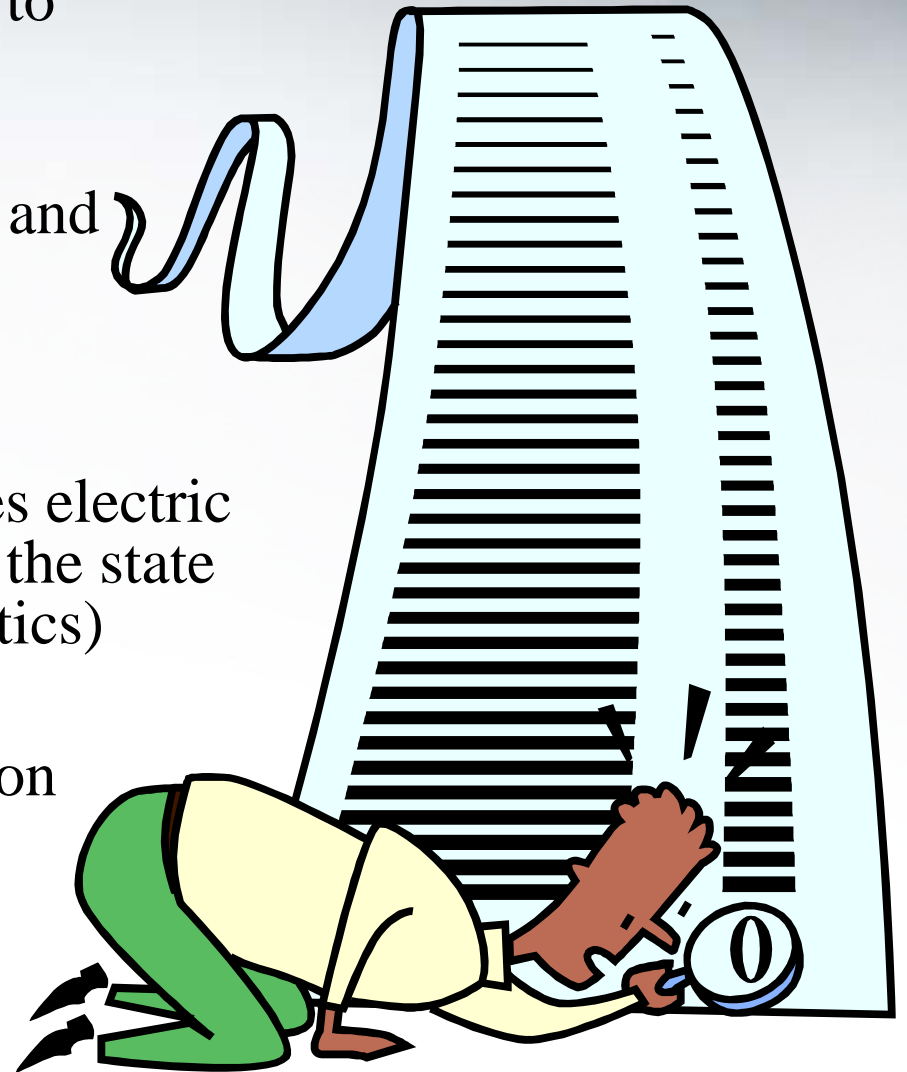


Commission Reporting



While the Commission does not “report” to utilities, the law requires:

- IURC Annual Report to the Governor and Legislature (including status of the Commission and utility industry)
- Regulatory Flexibility Report (includes electric industry issues and developments and the state and federal level and supporting statistics)
- IURC files are open to public inspection and copying, except for confidential documents.



Utility Reports Required by Law



Utility Annual Reports include:

- Financial statements
- Stockholder information
- Management information



Utility Reports Required by Law



- Territorial Maps, including service area boundaries reflecting the geographic territory served by the utility.
- Geographic Information System (GIS) Mapping – Digital representations of service territory maps will replace paper maps.



Utility Reports Required by Law

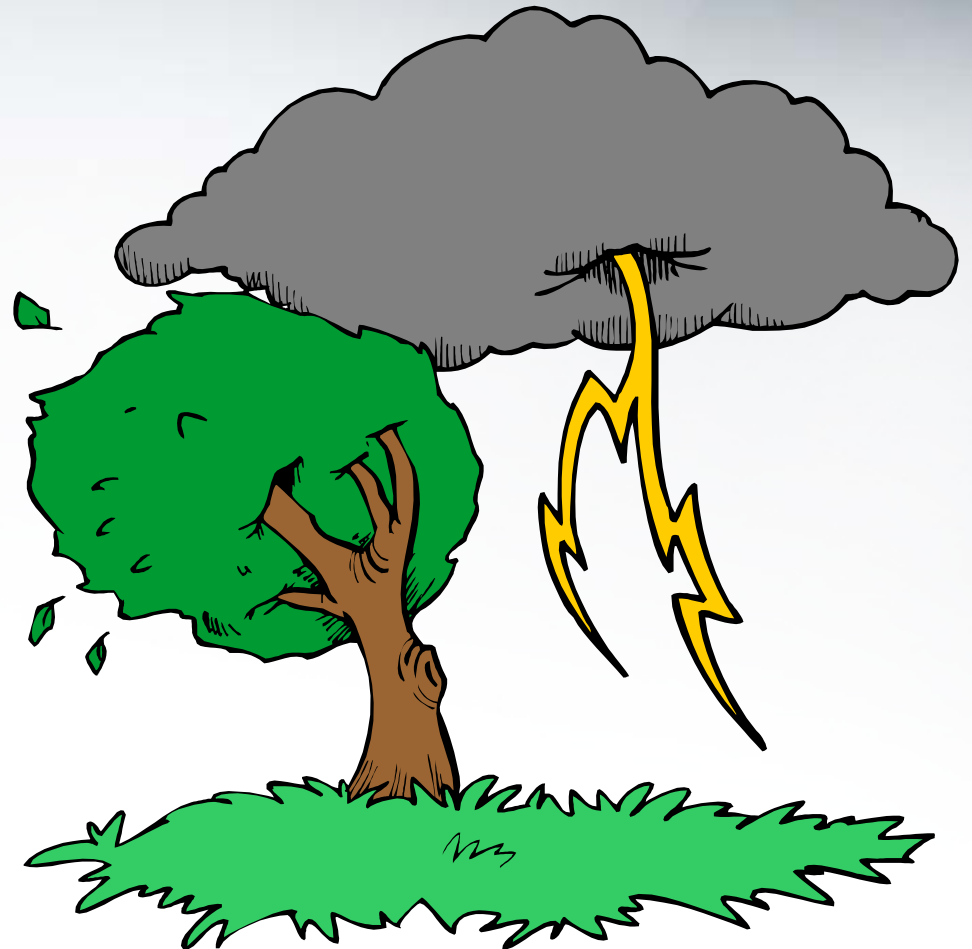


Utilities must report to the Commission whenever an accident results in the loss of human life on the utility's property or in connection with the utility's services. The Commission then determines whether further investigation into the accident is necessary.

Utility Reports Required by Rule



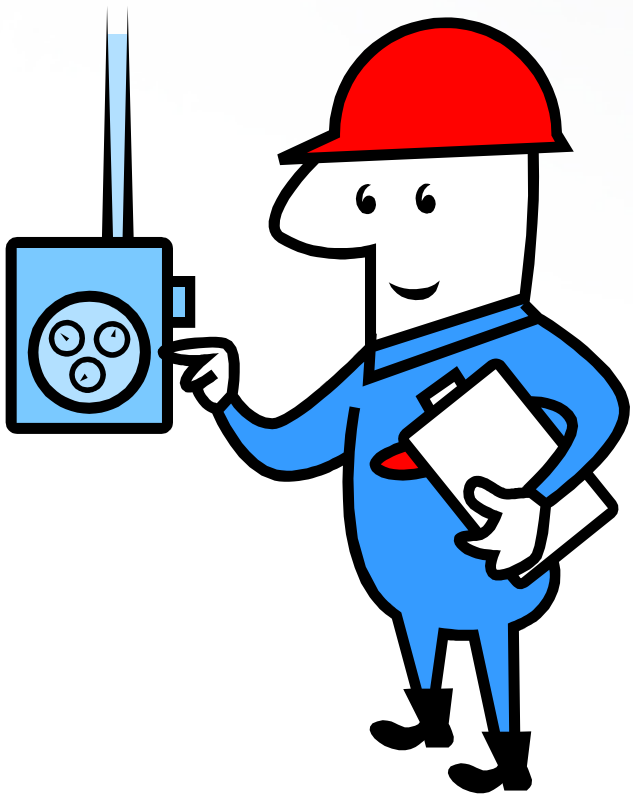
- Utilities must submit reports to the Commission when a customer's service is interrupted (due to extreme weather, accident, or system malfunction).
- For investor-owned electric companies, reports are required when:
 - service is out for two or more hours,
 - 2% of customers or 5,000 customers are affected, whichever is fewer.



Utility Reports Required by Rule



- Electric Meter Testing Reports:



Upon written request from a customer, the utility must test electric meters for accuracy and provide a report to the customer. If the customer requests, the utility must conduct a meter test under the supervision of the Commission's technical staff and provide a report to the Commission and the customer.

Utility Reports Required by Rule



- Consumer complaint reporting:
Each utility is required to annually submit a report to the Commission which classifies the number of customer complaints made to the utility, the general nature of the subject matter of the complaint, how the complaint was received (in person, by letter, etc.) and whether a Commission review was also conducted upon the complaint.



Utility Reports Required by Rule



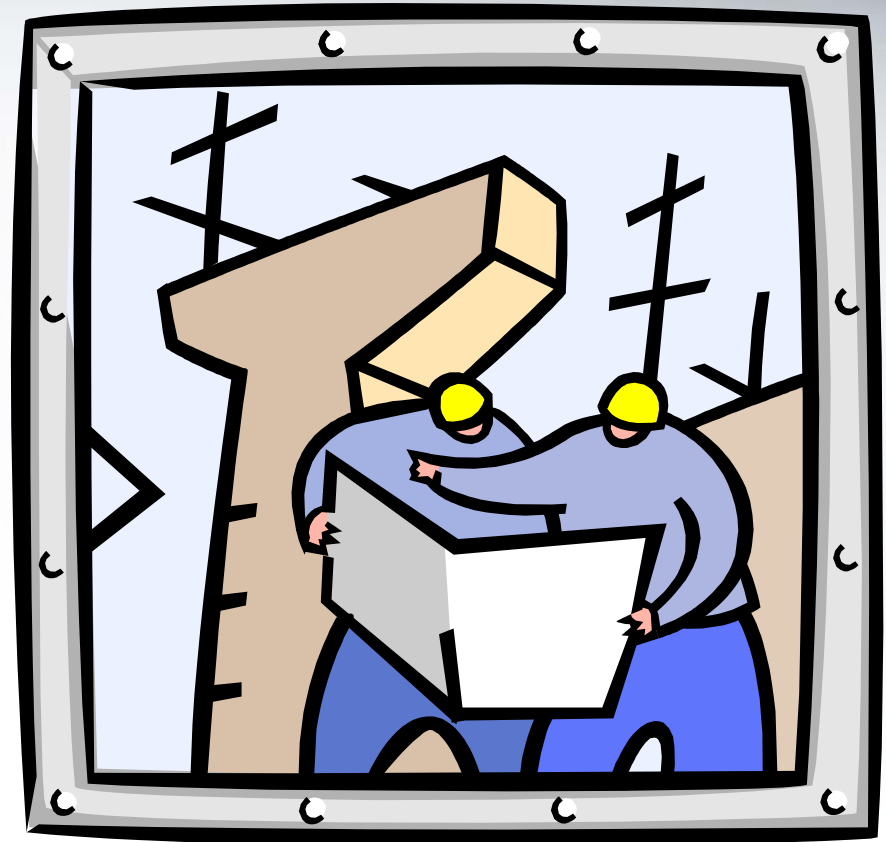
- Cogeneration and alternate energy producers (which includes power produced by water, wind, photoelectric transformation, combustion of refuse or a renewable/recovered resource) must submit a report one year prior to construction of such a facility that includes:
 - (1) the location of the facility;
 - (2) the form(s) of energy output of the facility;
 - (3) the owner(s), form and percentage of ownership of the facility;
 - (4) the maximum electric generating capacity of the facility;
 - (5) the expected annual electric energy output of the facility for the first five years of its operation;
 - (6) the primary fuel to be used for the production of electricity by the facility;
 - (7) the expected life of the facility; and
 - (8) the expected date of commercial operation for the facility.



Utility Reports Required by Rule



- Every two years, electric utilities must file an Integrated Resource Plan (“IRP”) which analyzes the long range needs for expansion of facilities for the generation of electricity and a plan for meeting the future requirements for electricity in the state over the next 20 years. The IRP must include:
 - A description of the utility’s methodology and documentation;
 - Energy and demand forecasts;
 - Resource assessment;
 - Selection of future resources;
 - A description of resource integration; and
 - A short-term action plan



Reports Required by Commission Order



- The Commission has the legal authority to inspect all of the books, accounts, papers and records of any public utility and may require utilities to make special reports or respond to informal inquiries or formal investigations at the Commission's discretion.

Reports Required by Commission Order



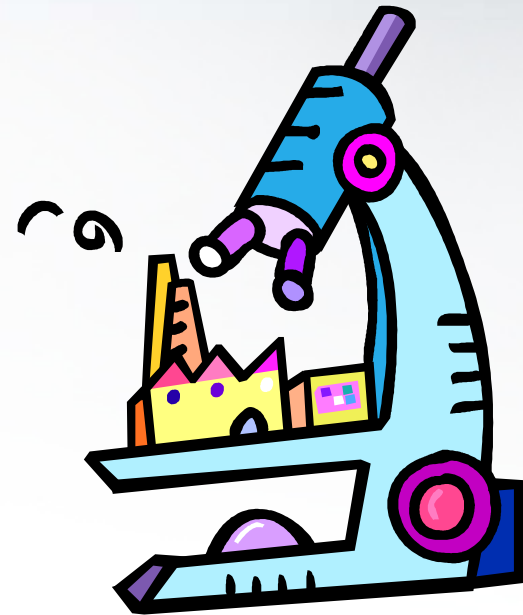
- Most recently, the Commission has asked electric utilities to provide information or reports regarding:
 - Service quality;
 - Service Reliability;
 - Distributed resources;
 - Annual summer capacity presentations; and
 - Annual heating season presentations.



Reports Required by Commission Order



- The Commission may also require reporting as a result of an investigation or order. For example, special reporting for Indianapolis Power & Light included:
 - Service quality
 - Employment and staffing levels
 - Progress reports on replacing outage and emergency management systems
 - Auditor's report
 - Additional quarterly financial reporting
 - Detailed reports of planned dividends prior to distribution



Conclusion

- The ability to have adequate and reliable reporting information from utilities is essential to ensuring good service quality, financial stability, accurate technological and educational information flowing between regulators and utilities.



Questions?



- Faleminderit që na ftuat!
(Thank you for inviting us!)

