

## Monitoring Procedure



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# Monitoring of licensed activities

- ❑ **The primary objective of monitoring** activities is to provide the necessary information and support for the ERO to perform both its regulatory and enforcement functions.
- ❑ 15.2. To meet its responsibilities, the Energy Regulatory Office shall have the power to: “*supervise and control compliance with licenses*”;
- ❑ Article 54 of the LER, the Energy Regulatory Office shall exercise supervision, over:
  - the compliance with the terms and conditions of licenses;
  - implementation of prices and tariffs;
  - implementation of acts, decisions, and instructions issued to licensees.

# Monitoring of licensed activities (cont.)

## ❑ Reporting Manual

### ❖ In-house monitoring analyses

- Compliance reports
  - ✓ immediate
  - quarterly
  - ✓ annually

## ❑ Classification of License Conditions

- ❖ **Level A** conditions are those license conditions where a breach would have a potentially serious impact on Government policy objectives or on customers or is costly to remedy.
- ❖ **Level B** conditions are those license conditions which potentially have a less serious impact on both Government policy objectives and on customers.

## Monitoring of licensed activities (cont.)

### □ On-site audits (casual and planed),

- ❖ A system audit:

- ❖ A performance audit

- No any system audit undertaken up to now

- In 2007 performance audits of all licensees,

- Monitoring Report issued and published in 2008

## Monitoring of licensed activities (cont.)

- ❑ In case of violation ERO may impose:
  - ❖ Administrative measures
  - ❖ Fines,
  - ❖ Suspension or withdrawal of the license,
- ❑ Article 57 of the LER determines all kinds of violation and the amount of penalties,
- ❑ Rule on Administrative Measures and Fines in details determines the procedure for imposing administrative measures and fines.

# Modification of the License

- ❑ To meet its responsibilities, the Energy Regulatory Office shall have the power to: “grant, **modify**, suspend, **transfer**, and withdraw licenses” ART. 15.2. of the LER ;
- ❑ Rule on Licensing and License determines the conditions regarding the modification of the License

- ❖ Modification of the License

- Initiated by ERO,
- Initiated by Licensee,
- Application form

# Transfer of the License

## ❑ Transfer of the License

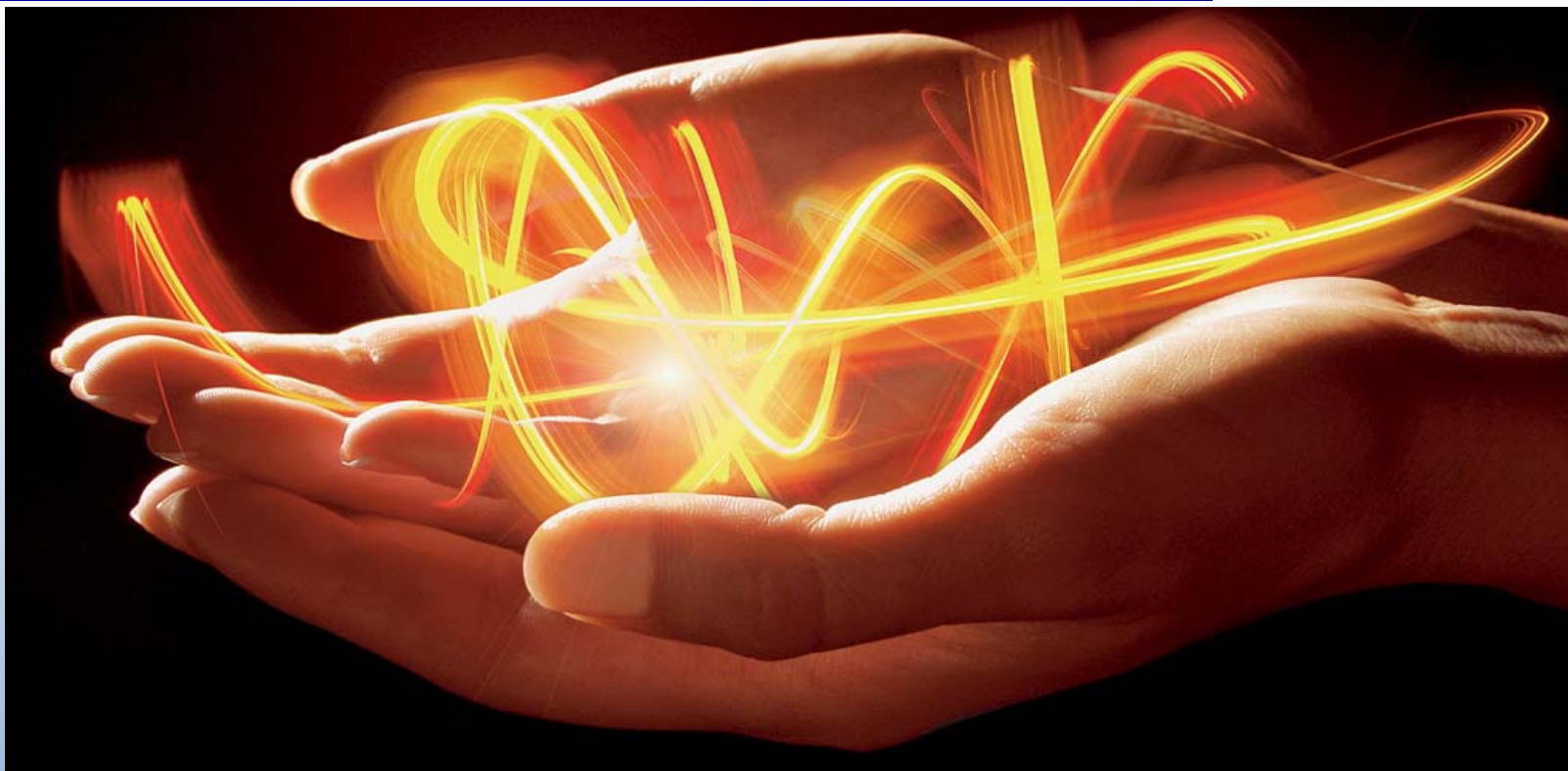
### ❖ Initiated by ERO,

- From one to another licensee (if withdrawn)
- In case of liquidation or bankruptcy of a licensee

### ❖ Initiated by Licensee,

### ❖ Application form

# Energy Regulatory Office



**Faleminderit**  
**Thank you**

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