# **Indiana Utility Regulatory Commission**



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Triana, Albania July 8-12, 2002

# **Indiana Utility Regulatory Commission**



# Indiana and Its Neighbors Bill McCarty Chairman

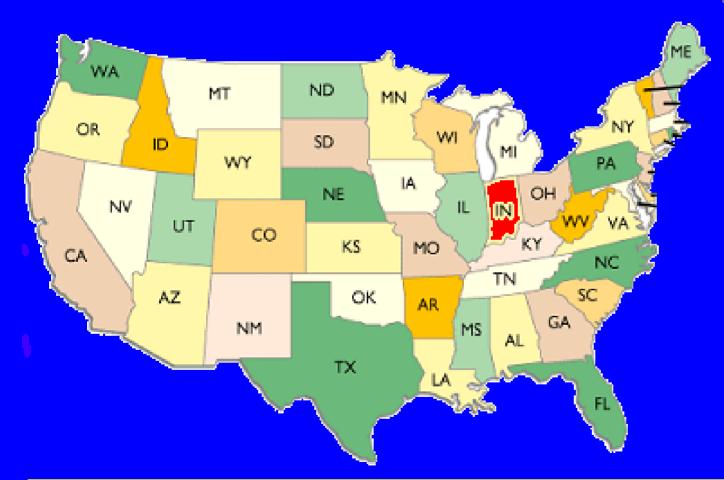
July 8, 2002

#### Introduction



- Indiana and its neighbors
- IURC and its responsibilities
- Legal authorities and proceedings
- IURC relationship with government officials and agencies
- Electricity staff and issues part 1
- Electricity issues part 2

# Indiana and Its Neighbors







Indiana
36,000 Square Miles
6 Million People

Albania
10,822 Square Miles
3.5 Million People



# Levels of Regulation in the United States



- Nation
- State
- Local
- Regional

#### **IURC Structure**



- Commissioners
- Administrative law judges
- Technical staff
- External affairs
- Support and clerical staff

### **IURC Commissioners**

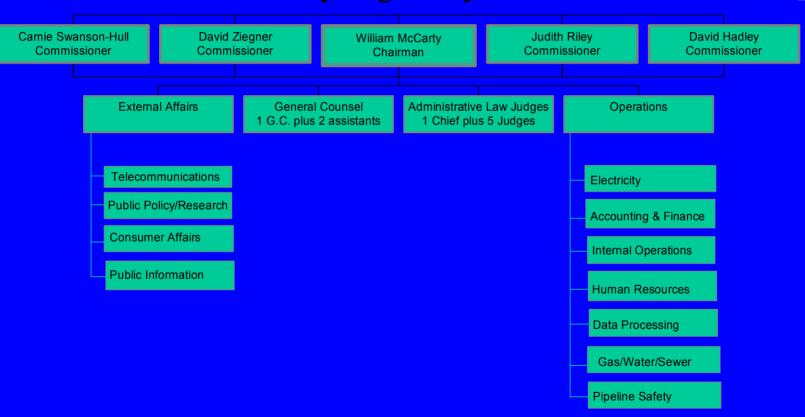


- Five (5)
- Nominating committee
- Appointed by Governor
- No more than three (3) from same party
- Staggered terms
- Qualifications

# **Organization Chart**



#### Indiana Utility Regulatory Commission



## **Decision Making Process**



- Building consensus
- Avoid split votes
- Interaction with Staff

# Financing the IURC

Utility fees

SEAL

Budget approval

## **IURC** Authority



- Information Collection,
- Monitoring and Enforcement
- License Issuance
- I. Certificate of Territorial Authority
- II. Certificate of Need
- Full Tariff Authority
- Electricity Market
- Gas Market
- Ability to approve mergers and assess fines

## **IURC** Accountability



- Public participation and transparency
- Annual report and audit
- Budget review
- Code of Ethics
- Appeal of decisions to courts