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National
Association of
Regulatory
Utility
Commissioners

Regulatory Structure and Operations

Doug Scott, Chairman
Jonathan Feipel, Executive Director

January 27, 2014



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Illinois Commerce Commission

REGULATORY STRUCTURE



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Jurisdiction and Responsibilities

State of Illinois

- Generation
 - Retail Oversight
 - Retail Procurement
 - Renewable Portfolio
 - Efficiency Portfolio/Resource
- Transmission
 - Citing
 - Certification
- Distribution
 - Full Retail Regulation
 - Price
 - Reliability
 - Safety

Federal – FERC and ISO

- Generation
 - Reserve Planning
- Transmission
 - Capacity Planning
 - Congestion
 - Reliability
 - Project Proposals
 - Cost Allocation



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Illinois Energy Regulator - Framework

Retail Supply

- Managing Volatility

 - Power Agency

- Retail Suppliers

 - Development

 - Rules of the Game

- New Investment

 - Renewable Portfolio

 - Clean Coal Portfolio

 - Energy Efficiency Portfolio

 - Energy Efficiency - Resource

 - Tax Incentives

 - Grant Funding (non-ICC)

 - Net Metering

Retail Distribution

- Network

 - Reliability and Safety

 - Modernization

 - Outages

- Rate Making

 - Rate of Return

 - Tariffs



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Key Characteristics

- Strong Regulator
 - Access to Good Information
 - Appropriate Action
 - Allow Markets where they Exist
 - Replace Markets where Needed
- Flexibility
- Autonomy
- Planning and Development
 - Reliability
 - Resource Adequacy
 - Policy and Societal Objectives
 - Affordability
 - Renewables/Clean Energy
 - Efficiency



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OPERATIONS



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Illinois Energy Regulatory History

- 1871 Railroad and Warehouse Commission
- 1913 Illinois Public Utilities Act
- 1997 Electric Restructuring
- 2007 Modernization
 - Illinois Power Agency
 - Renewable and Efficiency Portfolio Standards
- 2008 - 2013
 - Expedited Transmission Review
 - Wind Incentives: standardized taxes, high impact business
 - Smart Grid
 - Efficiency as a Resource
 - Gas Safety Infrastructure Investments



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Illinois Commerce Commission

- Five (5) commissioners
 - Quasi Judicial decision making
- Authorization to employ 270 staff
 - Advocates
 - Enforcement
- Annual budget of approximately \$132 million
- Public Utilities Operations Budget of \$30M
- Offices in Springfield (State Capitol) and Chicago

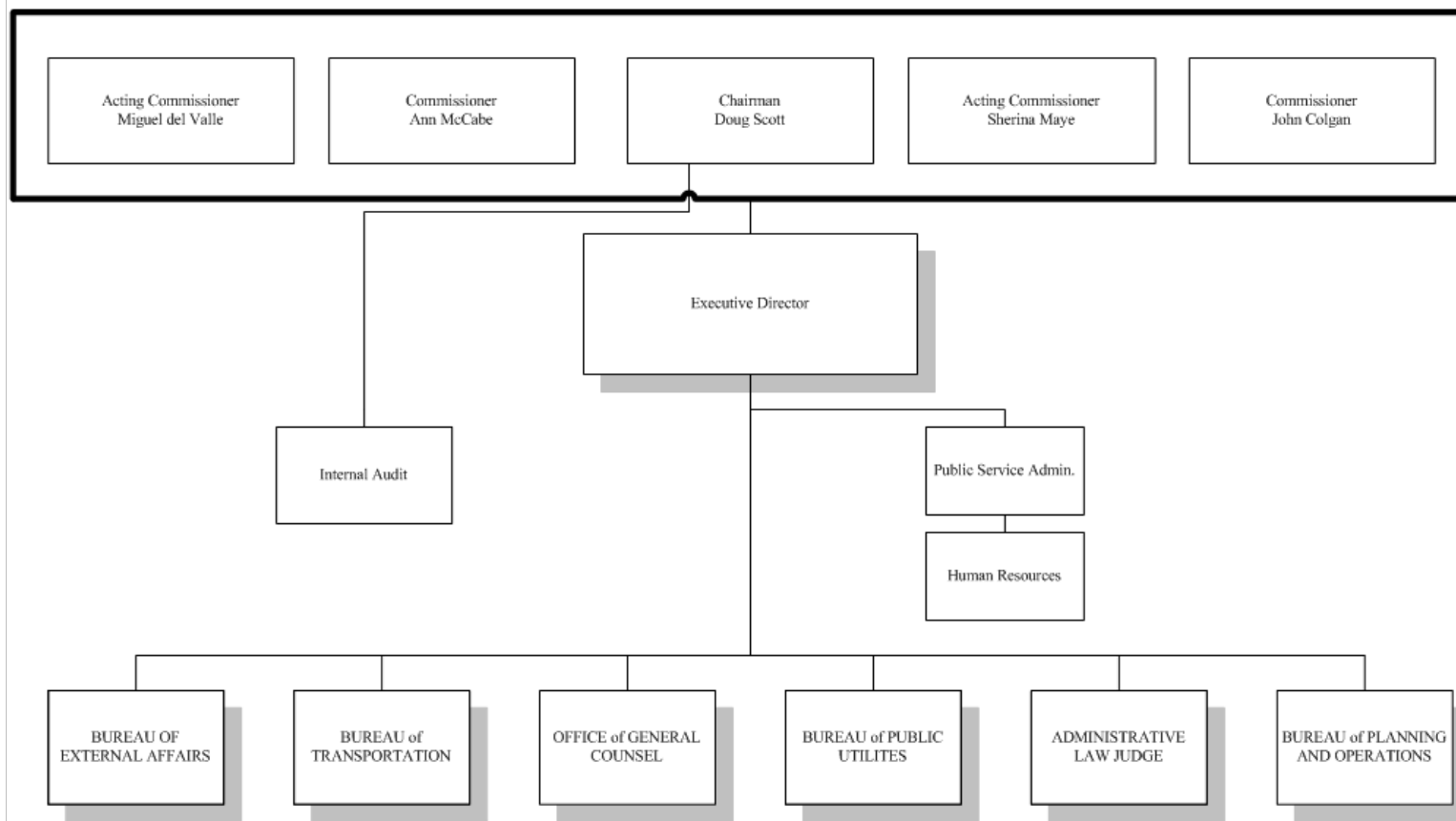


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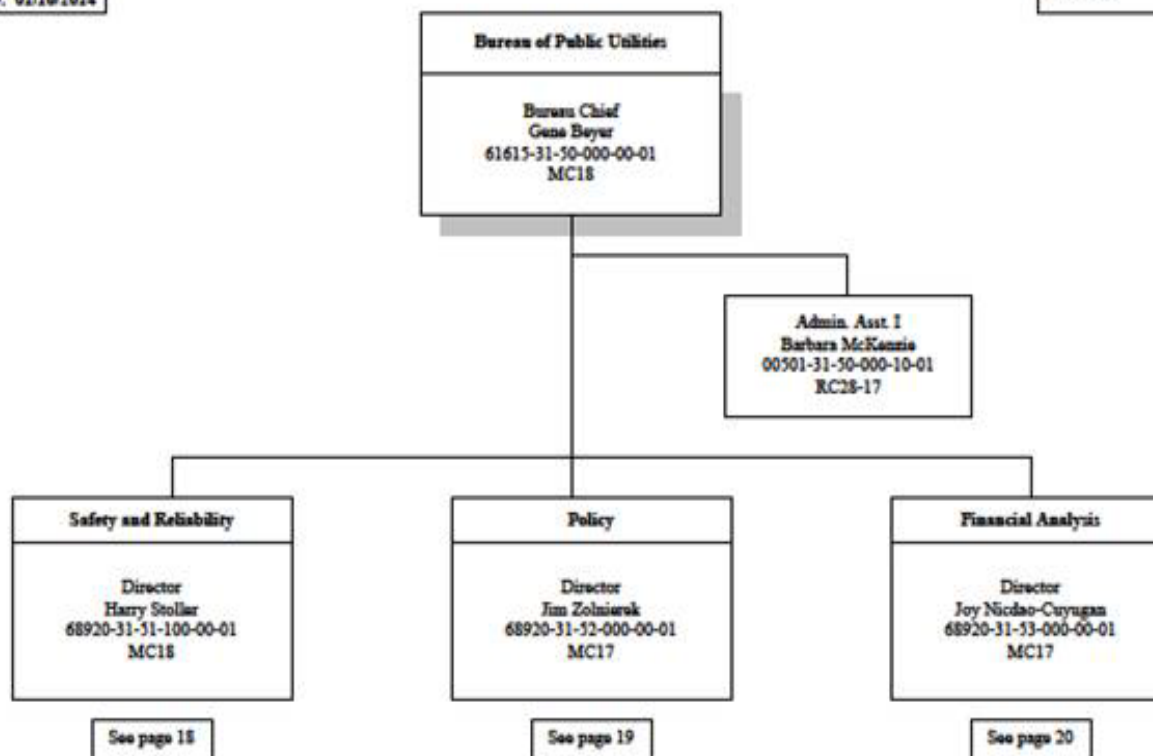


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Approval Signature and Date
Page 17 of 25
Drawn By Human Resources Department Illinois Commerce Commission Date: 01/16/2014

Bureau of Public Utilities

Agency: Illinois Commerce Commission
Bureau: Public Utilities
Division:
Section:





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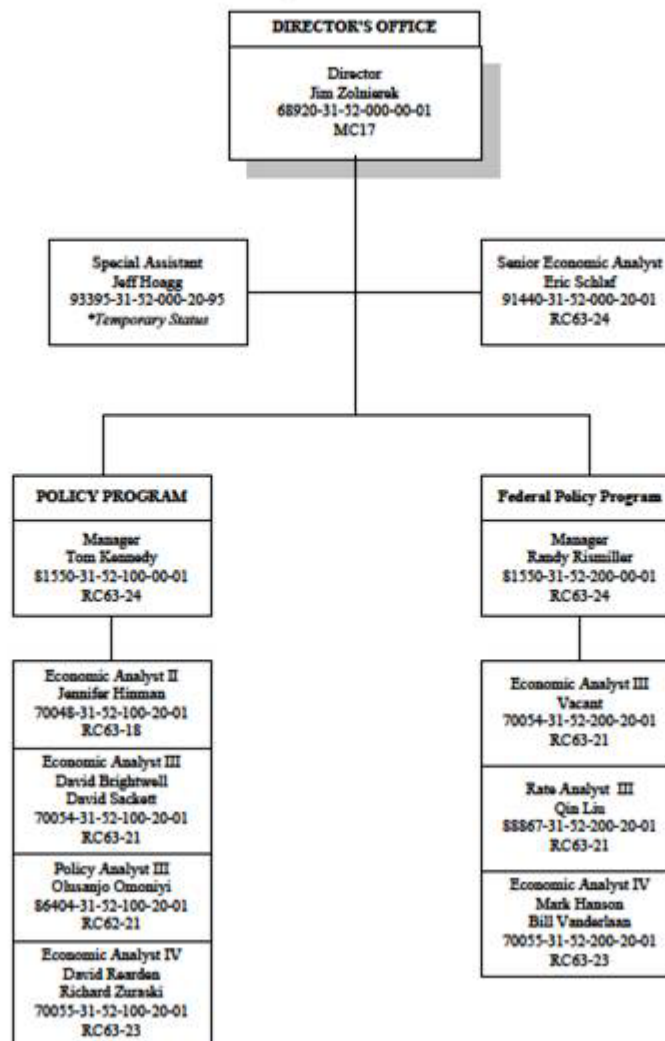


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Drawn By: Human Resources Department Illinois Commerce Commission Date: 01/16/2014	

Policy Division

Agency: Illinois Commerce Commission
Bureau: Bureau of Public Utilities
Division: Policy
Section: Not Applicable





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Human Resources Department
Illinois Commerce Commission

Date: 01/16/2014

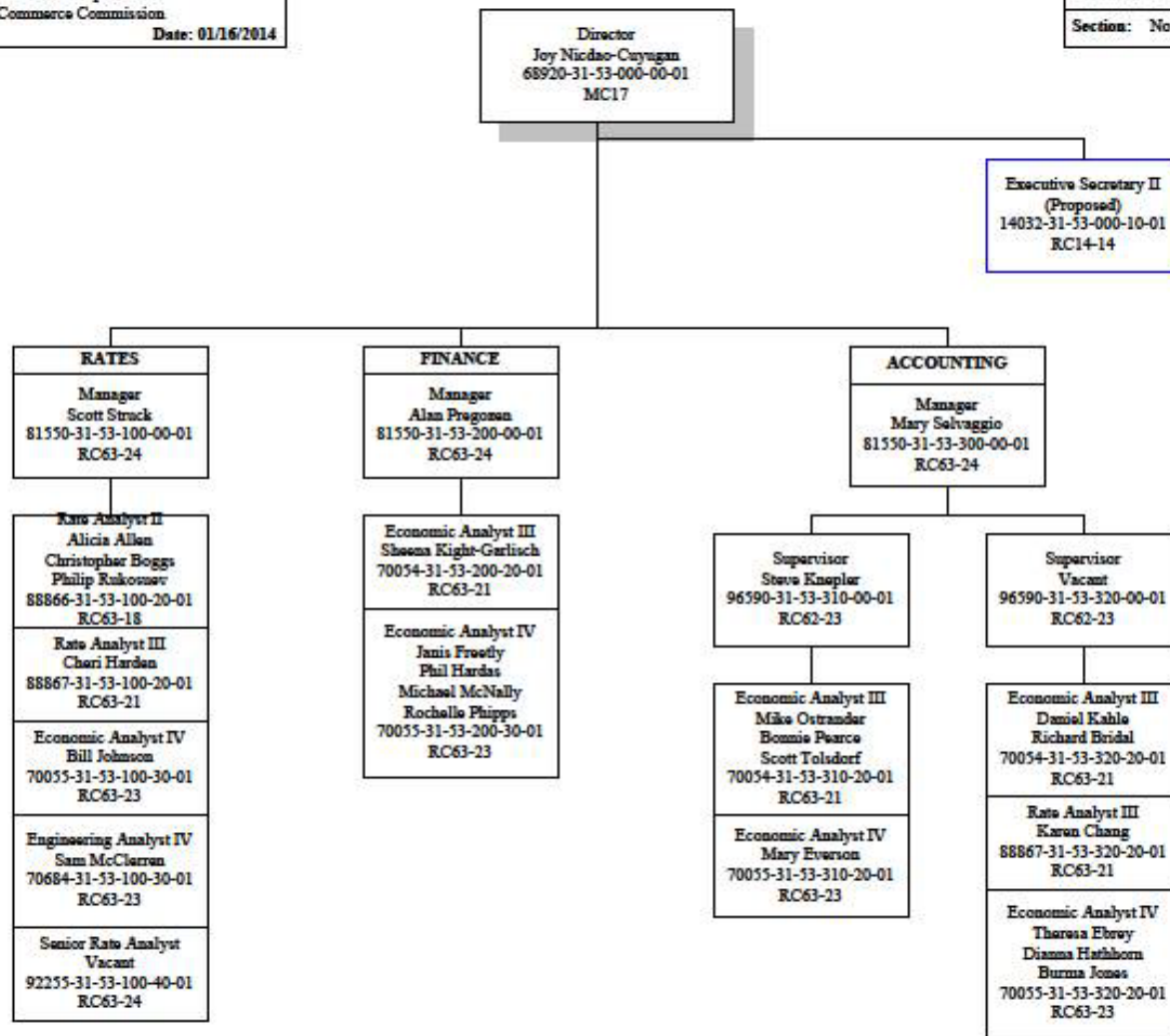
Financial Analysis Division

Agency: Illinois Commerce Commission

Bureau: Public Utilities

Division: Financial Analysis

Section: Not Applicable





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Illinois Commerce Commission

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Safety and Reliability Division

Agency: Illinois Commerce Commission

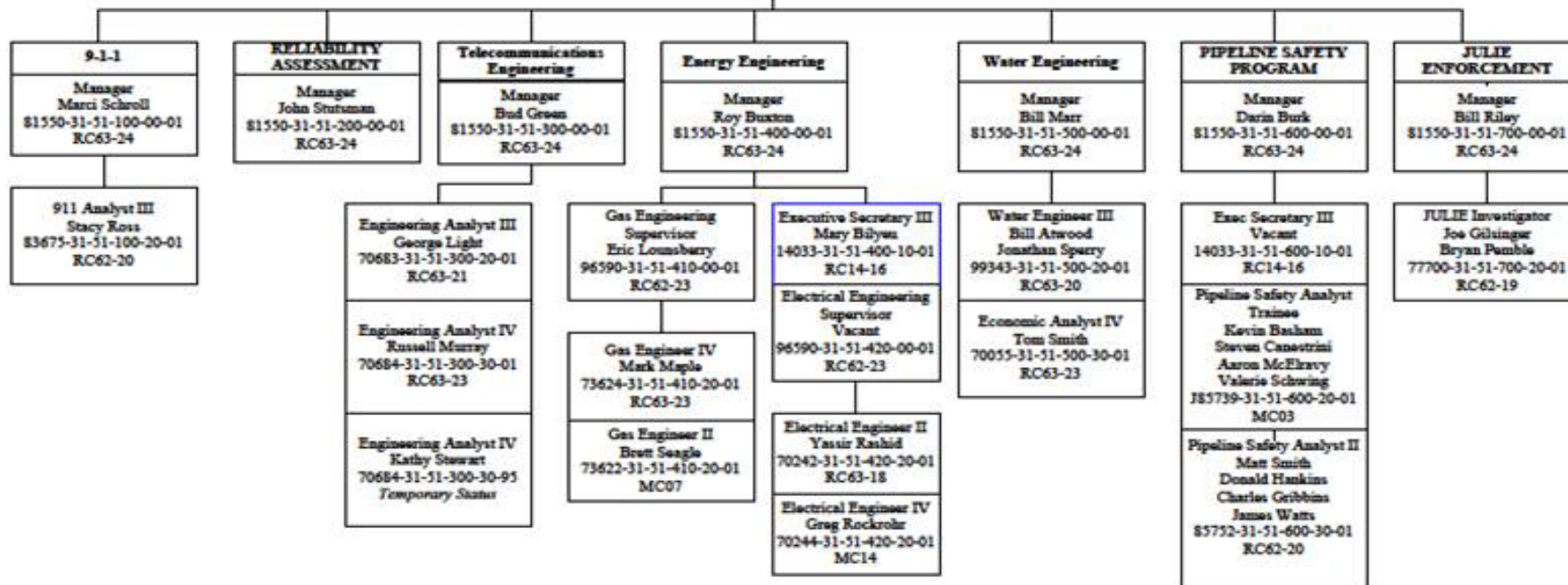
Bureau: Bureau of Public Utilities

Division: Safety and Reliability

Section: Not Applicable

Director
Harry Stollar
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RC28-17





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**“As our case is new,
we must think and act anew.”**

- Abraham Lincoln