Consumer Service Best Practices

> Kosovo Energy Regulatory Office / Illinois Commerce Commission Partnership November 4, 2009

Illinois Commerce Commission Consumer Services Division

Established in 1972 Staff of 20 • Deals with complaints and inquiries from members of the public Provides policy advice regarding consumer implications and issues in rulemakings and contested cases Liaison with phone relay service, lowincome support groups

Illinois Administrative Rules

 Administrative Rules establish working procedures to implement laws
 83 II. Admin. Code Part 280

- Establishes consumer related practices for water and energy utilities, including dispute procedures
- 83 II. Admin. Code Part 735
 - Similar to Code Part 280, but for telephone carriers

Consumer Contacts - 2008

- Over 28,900 in 2008
- Contact numbers have more than doubled since 1989
- Contacts
 - 84.4% initiated by telephone
 - 11.5% filed online
 - <u>http://www.icc.illinois.gov/consumer/complain</u>
 <u>t/</u>
 - 2.5% filed by letter
- 80% of complaints involved energy utilities

Reasons for Complaints Energy Utilities

Billing - 40.4%

- Complaints about payment arrangements, whether customer is responsible for account, questions relating to estimated bills and failure to read meters, and usage / consumption questions
- Termination of Service 13.6%
- Situation where customer seeks assistance in getting service reconnected, or has medical certificate

Reasons for Complaints Energy Utilities (continued)

Rates – 13.5%

General complaints and concerns about rate levels

Service / Service Quality – 9.6%

- Complaints regarding timeliness of reconnection or disconnection; timeliness issues generally (e.g., showing up for appointments), behavior of employees, service interruptions
- Deposits 4.25%
 - Complaints regarding deposit requirements

How ICC Handles Informal Complaints

 Utilities required by rule to designate employees to respond to and resolve customer complaints as appropriate Generally service representatives If the utility refuses to resolve complaint, it must advise the customer how to contact ICC CSD • CSD will intervene only if customer has not

been able to resolve

Types of Complaints

Informal complaints are addressed by CSD
Formal are resolved by Judges and ICC
CSD's informal process must be used first
CDS authorized to conduct mediation between informal complaint and any formal complaint customer might file

How ICC Handles Informal Complaints (continued)

Three-Way Calling

- Under certain conditions it is the most efficient way to resolve complaints
- Telephone call with customers, CSD consumer counselor, and utility representative
- Only attempt when dispute can be resolved in the course of a telephone call
- In 2008,CSD resolved over 9,300 complaints using three-way calling

How ICC Handles Informal Complaints (continued - 2)

Investigative Complaints

- CSD notifies utility that customer has filed a complaint
- Utility must respond within 14 days and provide supporting documentation, which is reviewed by CSD
- Subject matter experts at ICC (engineers, accountants, etc.) are consulted if necessary, depending on issue
- 10,700 complaints resolved this way in 2008

Informal Complaints - Outcomes

 Approximately 10% of customer complaints regarding energy utilities found to be justified over the last two years
 Energy utilities have fewer justified complaints than other utilities

Formal Complaints

 If customer is not satisfied with outcome of informal complain, may file formal complaint
 CDS and ICC Staff generally not involved in this process